

FORM LM-3 LABOR ORGANIZATION ANNUAL REPORT

**FOR USE BY LABOR ORGANIZATIONS WITH
LESS THAN \$200,000 IN TOTAL ANNUAL RECEIPTS**

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT. SUBMIT THIS REPORT IN DUPLICATE.

<p>IMPORTANT</p> <p>If a label is here, → peel off the top copy and place it in the same box on the second copy of the form.</p> <p>If label information is correct, leave Items 4 through 8 blank.</p> <p>If label information is incorrect, complete Items 4 through 8.</p>	<div style="border: 1px solid black; padding: 10px; margin: 0 auto; width: 80%;"> <p>MARK McDONALD (3) 501-998 GOVERNMENT EMPLOYEES AFGE AFL-CIO OBC LU 02040 DOD P O BOX 200157 (BLDG 667-30) 12/97 DENVER, CO 80220-0157</p> </div>	<p>1. FILE NUMBER <u>501-998</u></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">2. PERIOD COVERED</td> <td style="width: 15%;">MO</td> <td style="width: 15%;">DAY</td> <td style="width: 50%;">YR</td> </tr> <tr> <td>From</td> <td><u>01</u></td> <td><u>01</u></td> <td><u>97</u></td> </tr> <tr> <td>Through</td> <td><u>12</u></td> <td><u>31</u></td> <td><u>97</u></td> </tr> </table> <p>3. If your organization ceased to exist and this is its terminal report, see Section XII of the instructions and check here: <input type="checkbox"/></p>	2. PERIOD COVERED	MO	DAY	YR	From	<u>01</u>	<u>01</u>	<u>97</u>	Through	<u>12</u>	<u>31</u>	<u>97</u>
2. PERIOD COVERED	MO	DAY	YR											
From	<u>01</u>	<u>01</u>	<u>97</u>											
Through	<u>12</u>	<u>31</u>	<u>97</u>											

<p>4. AFFILIATION OR ORGANIZATION NAME <u>American Federation of Government Employees</u></p>	<p>8. MAILING ADDRESS (In care of) NAME AND TITLE OF PERSON <u>Mark McDonald, Treasurer</u></p>
<p>5. DESIGNATION (Local, Lodge, etc.) <u>Local</u></p>	<p>6. DESIGNATION NUMBER <u>2040</u></p>
<p>7. UNIT NAME (if any)</p>	<p>NUMBER AND STREET <u>P.O. Box 200157</u></p>
<p>9. Are your organization's records kept at its mailing address? (If "No," provide address in Item 56.) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>BUILDING AND ROOM NUMBER (if any) <u>Bld 667 Rm 30</u></p>
<p>STATE <u>Colorado</u></p>	<p>ZIP CODE <u>80220</u></p>

DURING THE REPORTING PERIOD DID YOUR ORGANIZATION:

<p>10. Have a "subsidiary organization" as defined in Section X of the instructions? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>11. Create or participate in the administration of a trust or other fund or organization, as defined in the instructions, which provides benefits for members or their beneficiaries? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>12. Have a political action committee (PAC) fund? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>13. Acquire or dispose of any goods or property in any manner other than by purchase or sale? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>14. Have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>15. Discover any loss or shortage of funds or other property? (Answer "Yes" even if there has been repayment or recovery.) Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>16. Have any officer who was paid \$10,000 or more by your organization and also received \$10,000 or more as an officer or employee of another labor organization or of an employee benefit plan? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>17. Pay any employee salary, allowances, and other expenses which, together with any payments from affiliates, totaled more than \$10,000? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>18. Have loans totaling more than \$250 to any officer, employee, or member, or make any loans to a business enterprise? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
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(If the answer to any of the above questions is "Yes," provide details in Item 56 as explained in the instructions for each item.)

<p>19. How many members did your organization have at the end of the reporting period? <u>403</u></p> <p>20. What is the maximum amount recoverable under your organization's fidelity bond for a loss caused by any officer or employee of your organization? <u>\$ 17500</u></p> <p>21. During the reporting period, did your organization have any changes in its constitution and bylaws (other than rates of dues and fees) or in practices/procedures listed in the instructions? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p style="font-size: x-small;">(If the constitution and bylaws have changed, attach two new dated copies. If practices/procedures have changed, see the instructions.)</p>	<p>22. What is the date of your organization's next regular election of officers? <u>10</u> / <u>98</u> Month Year</p> <p>23. What are your organization's rates of dues and fees? (Enter a minimum and maximum if more than one rate applies for any line.)</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">Rates of Dues and Fees</th> </tr> </thead> <tbody> <tr> <td>(a) Regular Dues/Fees</td> <td>\$ <u>12.55</u> per <u>Bi Weekly</u> (month, year, etc.)</td> </tr> <tr> <td>(b) Initiation Fees</td> <td>\$ <u>0</u></td> </tr> <tr> <td>(c) Transfer Fees</td> <td>\$ <u>0</u></td> </tr> <tr> <td>(d) Work Permits</td> <td>\$ <u>0</u> per _____ (month, year, etc.)</td> </tr> </tbody> </table>	Rates of Dues and Fees		(a) Regular Dues/Fees	\$ <u>12.55</u> per <u>Bi Weekly</u> (month, year, etc.)	(b) Initiation Fees	\$ <u>0</u>	(c) Transfer Fees	\$ <u>0</u>	(d) Work Permits	\$ <u>0</u> per _____ (month, year, etc.)
Rates of Dues and Fees											
(a) Regular Dues/Fees	\$ <u>12.55</u> per <u>Bi Weekly</u> (month, year, etc.)										
(b) Initiation Fees	\$ <u>0</u>										
(c) Transfer Fees	\$ <u>0</u>										
(d) Work Permits	\$ <u>0</u> per _____ (month, year, etc.)										

Each of the undersigned, duly authorized officers of the above labor organization, declares, under the applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VI on penalties in the instructions.)

<p>57. SIGNED: <u>Manoj A. Gupta</u> PRESIDENT (If other title, see instructions) <u>3/23/98</u> (303) 676-5410 Date Telephone Number</p>	<p>58. SIGNED: <u>Mark S. McDonald</u> TREASURER (If other title, see instructions) <u>20 Mar 98</u> (303) 676-6780 Date Telephone Number</p>
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24. ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

Name (List all persons who held office during the reporting period even if they received no salary or other disbursements.) (A)	Title (B)	Status N - New P - Past C - Continuing (C)	Gross Salary (before taxes and other deductions) (D)	Allowances and Other Disbursements (E)	Total (F)
(a) Marilyn A. Hicks	President	C	0	1982	1982
(b) Cheryl Brown	Secretary	C	0	25	25
(c) Helen Stribling	Trustee	C	0	25	25
(d) Marian Wells	Trustee	C	0	25	25
(e) Roberto Trinidad	Vice Pres B	C	0	25	25
(f) William Guidry	Vice Pres A	C	0	0	0
(g) Totals from additional pages (if any) <i>See 56</i>			0	0	0
(h) Totals of Lines (a) through (g)			0	2082	2082
			(i) Less Deductions		0
Enter the Total from Line (j) in Item 45			(j) Net Disbursements		2082

STATEMENT A — ASSETS AND LIABILITIES

ASSETS Item	Start of Reporting Period (A)	End of Reporting Period (B)	LIABILITIES Item	Start of Reporting Period (C)	End of Reporting Period (D)
25. Cash	29274	30257	32. Accounts Payable	0	0
26. Loans Receivable	0	0	33. Loans Payable	0	0
27. U.S. Treasury Securities	0	0	34. Mortgages Payable	0	0
28. Investments	0	0	35. Other Liabilities	0	0
29. Fixed Assets	0	0	36. TOTAL LIABILITIES	0	0
30. Other Assets	0	0	37. NET ASSETS (Item 31 less Item 36)	29274	30257
31. TOTAL ASSETS	29274	30257			

STATEMENT B — RECEIPTS AND DISBURSEMENTS

CASH RECEIPTS Item	AMOUNT	CASH DISBURSEMENTS Item	AMOUNT
38. Dues	102761	45. To Officers (from Item 24)	2082
39. Per Capita Tax	0	46. To Employees (less deductions)	0
40. Fees, Fines, Assessments & Work Permits	0	47. Per Capita Tax	38654
41. Interest & Dividends	1012	48. Office & Administrative Expense	13275
42. Sale of Investments & Fixed Assets	0	49. Professional Fees	5611
43. Other Receipts	506	50. Benefits	28909
44. TOTAL RECEIPTS	104190	51. Contributions, Gifts & Grants	950
<div style="border: 1px solid black; padding: 5px;"> If total receipts reported in Item 44 are \$200,000 or more, your organization must file Form LM-2 instead of this form. </div>		52. Purchase of Investments & Fixed Assets	0
		53. Loans Made	0
		54. Other Disbursements	13719
		55. TOTAL DISBURSEMENTS	10206

56. ADDITIONAL INFORMATION (If more space is needed, attach additional pages properly identified.)

Item Number 24	Mark McDonald Treasurer	C	0	0	0
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Account Balances YTD
As of 12/31/96

LM-3 1997

QDATA-All Accounts
3/23/98

Acct	12/31/96 Balance
<u>ASSETS</u>	
Cash and Bank Accounts	
Cash	0.00
CO NAT BANK CK	9,577.68
CO NAT CD	0.00
CO NAT DENTAL	0.00
SAFCU CD	10,847.41
SAFCU SAVINGS	8,849.22
Total Cash and Bank Accounts	<u>29,274.31</u>
TOTAL ASSETS	<u>29,274.31</u>
LIABILITIES	<u>0.00</u>
TOTAL NET WORTH	<u><u>29,274.31</u></u>

Cash Flow Report
1/ 1/97 Through 12/31/97

QDATA-Bank, Cash, CC Accounts
3/23/98

Page 1

Category Description	1/ 1/97- 12/31/97
INFLOWS	
Income:	
Certificate of Deposit	667.90 - (+41)
DUES DEDUCTIONS	102,760.55 - (38)
FUND RAISING:	
LOCAL General Fund Raisin	574.24
FUND RAISING - Other	-270.00
TOTAL FUND RAISING	304.24 - (+43)
Interest on Savings	344.43 - (+41)
Total Income	104,077.12
Inflows - Other	113.00 - (+43)
TOTAL INFLOWS	104,190.12 - (+4)
OUTFLOWS	
Administration Costs:	
Caucus Expenses	6,380.09
Executive Board Expenses	444.79
Mailing Expenses	795.23
Member Meeting Expenses	180.00
Miscellaneous Expenses	1,122.28
Office Expenses	911.23
Officers Bond Fee	222.00
Party Expenses	3,219.72
Total Administration Costs	13,275.34 - (48) ✓
Charitable Donations:	
Cash Contributions	175.00
Combined Federal Campaign	300.00
CONTRIBUTIONS-GIFTS	28.19
EXPENSES FOR FLOWERS	453.00
Total Charitable Donations	956.19 - (51) ✓
Dental Plan Expenses:	
DENTAL CONTRACTOR	5,365.00
UNION SPECIAL SERVICES	23,544.17
Total Dental Plan Expenses	28,909.17 - (50) ✓
EDUCATION-PUBLICITY:	
Monthly Newsletters	261.26
EDUCATION-PUBLICITY - Other	411.90
TOTAL EDUCATION-PUBLICITY	673.16 - (+54)
Negotiation Expenses:	
Misc Negotiation Expenses	810.40
Negotiation Per Diem	3,680.00
Total Negotiation Expenses	4,490.40 - (+54)

Cash Flow Report
1/ 1/97 Through 12/31/97

QDATA-Bank, Cash, CC Accounts
3/23/98

Category Description	1/ 1/97- 12/31/97	
OFFICERS:		
C.BROWN-OFFICER	25.00	
H.STRIBLING-OFFICER	25.00	
M,HICKS-OFFICER	1,982.00	
M.WELLS-OFFICER	25.00	
R.TRINIDAD-OFFICER	25.00	
	2,082.00	- (45) ✓
ORGANIZING:		
Cash for joining local	2,494.03	
Misc expenses for organiz	306.00	
	2,800.03	- (+54)
TOTAL ORGANIZING		
Retainers for Services:		
MINAHAN-SHAPIRO LEGAL FEE	5,611.41	
	5,611.41	- (49) ✓
Total Retainers for Services		
Taxes:		
AFGE PER CAPITA TAX	37,806.25	
DALF PER CAPITA TAX	372.00	
Legislative Per Capita Tx	476.00	
	38,654.25	- (47) ✓
Total Taxes		
Training Expenses:		
MEMBER'S PER DIEM	525.00	
Misc Training Fees	50.00	
President's Conference	200.00	
Steward Training Expenses	3,502.05	
Transportation Costs	290.00	
Treasurer Training	1,188.00	
	5,755.05	- (+54)
Total Training Expenses		
Outflows - Other	0.00	13718.64
	103,207.00	
TOTAL OUTFLOWS		
	983.12	
OVERALL TOTAL		

Account Balances YTD
As of 12/31/97

QDATA-All Accounts
3/23/98

Page 1

Acct	12/31/97 Balance
<u>ASSETS</u>	
Cash and Bank Accounts	
Cash	0.00
CO NAT BANK CK	6,692.47
CO NAT CD	0.00
CO NAT DENTAL	0.00
SAFCU CD	11,515.31
SAFCU SAVINGS	12,049.65
Total Cash and Bank Accounts	<u>30,257.43</u>
TOTAL ASSETS	30,257.43
LIABILITIES	<u>0.00</u>
TOTAL NET WORTH	<u>30,257.43</u> (31,37)